

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

10/01/03

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| UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</i> | Attorney Docket No. | 1889.2004-001 |
| | First Named Inventor or Application Identifier | Keith E. Forrester |
| | Express Mail Label No. | EV215730117US |

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| Title of Invention | HEAVY METAL STABILIZATION USING WET PROCESS PHOSPHORIC ACIDS AND COMPLEXING COMBINATIONS, PARTICULARLY FOR MINING WASTE |
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| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 |
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| 1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Specification Total Pages [19] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets [] <input type="checkbox"/> Fig. of the Drawings for Publication <input type="checkbox"/> <input type="checkbox"/> No Figure to be Published 4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages [2] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i> | 6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Paper Copy (identical to computer copy) <input type="checkbox"/> Pages c. <input type="checkbox"/> Statements verifying identity of above copies |
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| ACCOMPANYING APPLICATION PARTS 7. <input type="checkbox"/> Assignment Papers (cover sheet & documents) <input type="checkbox"/> Assignee - 8. <input checked="" type="checkbox"/> Power of Attorney <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement 9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard 13. <input type="checkbox"/> Small Entity Statement(s) 14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365 14b. <input type="checkbox"/> Certified Copy of Priority Document(s) 15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i> 16. <input type="checkbox"/> Other _____ |
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17. If a CONTINUING APPLICATION, check appropriate box; supply the requisite information.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner: Group Art Unit:

The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.
(Add standard Related Applications section with incorporation by reference to specification or update same)

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|----------------------------|--|-----------|----------------|----------|----------------|
| 18. CORRESPONDENCE ADDRESS | | | | | |
| NAME | Customer No. 021005 HAMILTON, BROOK, SMITH & REYNOLDS, P.C. | | | | |
| ADDRESS | 530 Virginia Road, P.O. Box 9133 | | | | |
| CITY | Concord | STATE | MA | ZIP CODE | 01742-9133 |
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| | | | |
|---------------------------------------|-------------------------|-------------|-----------------|
| Signature | <i>Alice O. Carroll</i> | Date | October 1, 2003 |
| Submitted by Typed or Printed Name | Alice O. Carroll | Reg. Number | 33,542 |

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

| FEE TRANSMITTAL FOR PATENT APPLICATIONS | | Attorney Docket Number | 1889.2004-001 | | |
|--|--|-------------------------|---------------------|---|------------------------|
| | | Application Number | | | |
| | | First Named Inventor | Keith E. Forrester | | |
| CLAIM CALCULATION (includes any preliminary amendment) | | | | | |
| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
| | TOTAL CLAIMS <small>(37 CFR 1.16(c) or (j))</small> | 22 - 20* = | 2 | x \$ 18 = | \$ 36 |
| | INDEPENDENT CLAIMS <small>(37 CFR 1.16(b) or (i))</small> | 6 - 3** = | 3 | x \$ 86 = | \$ 258 |
| | MULTIPLE DEPENDENT CLAIMS (if applicable) <small>(37 CFR 1.16(d))</small> | | | + \$ 290 = | \$ |
| | | | | BASIC FEE <small>(37 CFR 1.16(a) or (h))</small> | \$ 770 |
| | | | | Total of above Calculations = | \$ 1064 |
| | Reduction by 50% for filing by small entity <small>(37 CFR 1.9, 1.27, 1.28)</small> = | | | | \$ |
| | | | | TOTAL = | \$ 1064 |
| | Surcharge - Late Filing of Declaration or Filing Fees <small>(37 C.F.R. 1.16(e))</small> = | | | | \$ |
| | Petition for Extension of Time Fee <small>(37 C.F.R. 1.17)</small> = | | | | \$ |
| | Assignment Recordation Fee = <small>(only when filed with application)</small> | | | | \$ |
| | [Other] | | | | \$ |
| | | | | TOTAL = | \$ 1064 |
| <p>* Reissue claims in excess of 20 and over original patent</p> <p>** Reissue independent claims over original patent</p> | | | | | |
| <p>1. Small entity status:</p> <p style="margin-left: 40px;">a. <input type="checkbox"/> A small entity statement is enclosed.</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> Is no longer claimed.</p> <p>2. <input checked="" type="checkbox"/> A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.</p> <p>3. <input checked="" type="checkbox"/> A check is enclosed for \$1,064.00. <input type="checkbox"/> Please charge \$[] to Deposit Account No. 08-0380.</p> <p>4. <input type="checkbox"/> Other: _____</p> | | | | | |
| Signature | | <i>Alice O. Carroll</i> | | Date | <i>October 1, 2003</i> |
| Submitted by Typed or Printed Name | | Alice O. Carroll | | Reg. Number | 33,542 |